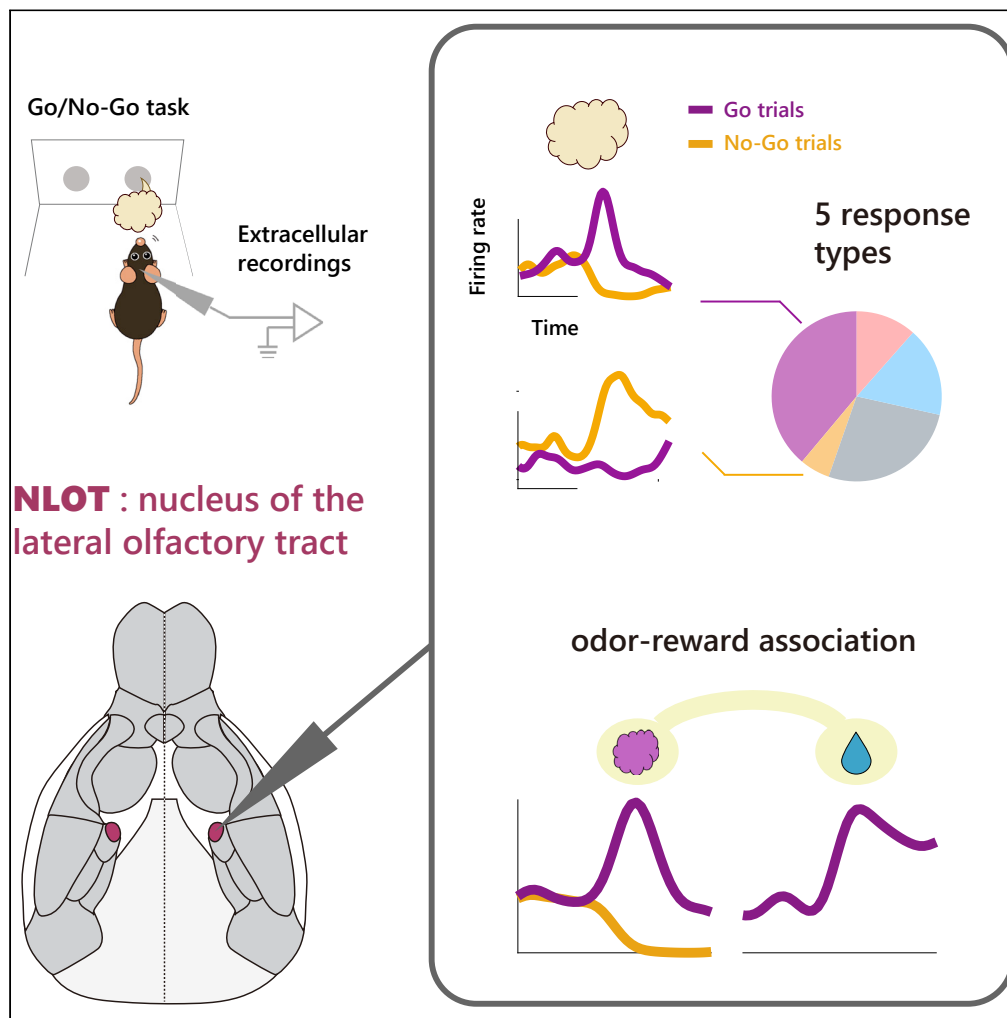


Article

# Bi-directional encoding of context-based odors and behavioral states by the nucleus of the lateral olfactory tract



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**Highlights**

We recorded NLOT spike activities in the odor-guided goal-directed behaviors

NLOT neurons were classified into five response types in the odor-sampling epoch

Many NLOT neurons exhibited go-cue excitation and no-go-cue suppression responses

The bidirectional responsive neurons were also activated in the reward drinking

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## Article

## Bi-directional encoding of context-based odors and behavioral states by the nucleus of the lateral olfactory tract

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## SUMMARY

The nucleus of the lateral olfactory tract (NLOT) is not only a part of the olfactory cortex that receives olfactory sensory inputs but also a part of the cortical amygdala, which regulates motivational behaviors. To examine how neural activity of the NLOT is modulated by decision-making processes that occur during various states of learned goal-directed behaviors, we recorded NLOT spike activities of mice performing odor-guided go/no-go tasks to obtain a water reward. We observed that several NLOT neurons exhibited sharp go-cue excitation and persistent no-go-cue suppression responses triggered by an odor onset. The bidirectional cue encoding introduced NLOT population response dynamics and provided a high odor decoding accuracy before executing cue-odor-evoked behaviors. The go-cue responsive neurons were also activated in the reward drinking state, indicating context-based odor-outcome associations. These findings suggest that NLOT neurons play an important role in the translation from context-based odor information to appropriate behavior.

## INTRODUCTION

The nucleus of the lateral olfactory tract (NLOT) is part of the olfactory cortex that receives direct sensory inputs from the olfactory bulb and the olfactory cortex, including the piriform cortex (Luskin and Price, 1983; Price, 1973). Alternately, it also receives projections from the anterior amygdaloid area, anterior cortical and posterolateral cortical amygdaloid nuclei, and amygdalo-piriform transition area and forms part of the olfactory amygdala (Alheid et al., 1995). Some authors have considered the NLOT to be a component of the olfactory cortex (Price, 1973; Swanson and Petrovich, 1998), whereas others have regarded it as a component of the cortical amygdala areas that plays a critical role in generating odor-driven behaviors (de Olmos et al., 2004). The NLOT not only has a bidirectional connection with the olfactory bulb and piriform cortex but also strongly innervates the basolateral amygdala (BLA) and ventral striatum (Luskin and Price, 1983; Price, 1973; Santiago and Shammah-Lagnado, 2004). Owing to its anatomical features, it is possible that the NLOT is involved in odor-evoked motivational behaviors.

In addition to this anatomical evidence, a recent study (Vaz et al., 2017) has shown functional evidence that NLOT integrity is required for normal functioning of the olfactory system. Researchers have conducted a series of behavioral tests using rats with bilateral excitotoxic lesions of the NLOT. The NLOT-lesioned rats exhibited severe olfactory deficits with an inability to detect and discriminate between odors.

Despite the accumulation of knowledge, there are no reports of *in vivo* recording of neuronal activity in the NLOT. Therefore, the electrophysiological features of NLOT neurons on odor-evoked motivational behavior have not been clarified. The purpose of our study was to investigate how neural activity is modulated by motivational processes that occur during various behavioral states in a goal-directed task. Here, we recorded the neural spike activities in the NLOT of freely moving mice performing an odor-guided go/no-go task. We observed that the majority of NLOT neurons exhibited go-cue excitation and no-go-cue suppression responses triggered by an odor onset. The bidirectional cue encoding strongly contributed to the NLOT neuron population dynamics before executing cue-odor-evoked behaviors; additionally, the go-cue responsive neurons encoded a reward drinking state, indicating context-based odor-outcome associations. Our results suggest that the NLOT is critical for encoding context-based cue-outcome signals and may play an important role in the translation of odor stimulus information to appropriate behavior.

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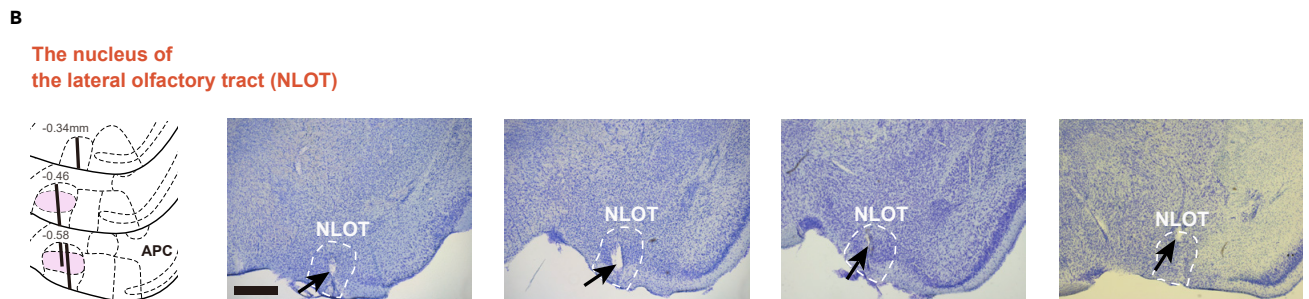
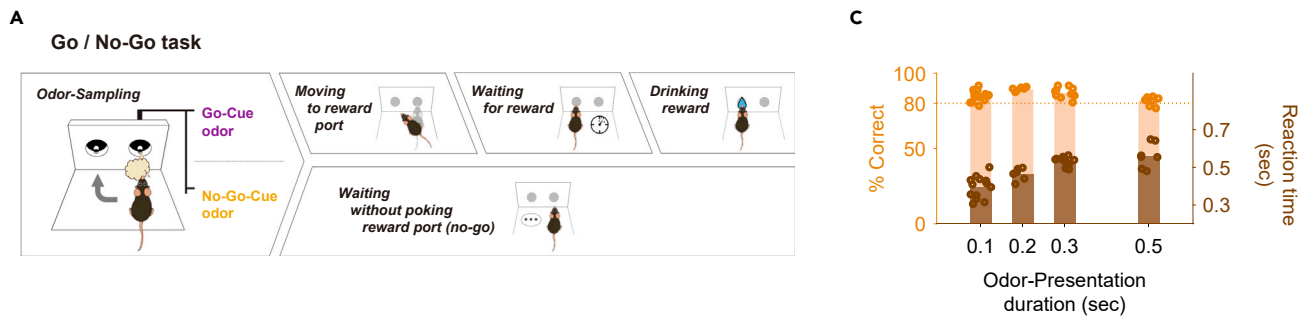
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**Figure 1. Odor-guided go/no-go task and nucleus of the lateral olfactory tract (NLOT)**

(A) Time course of the odor-guided go/no-go task. Behavioral epoch temporal progression from left to right.

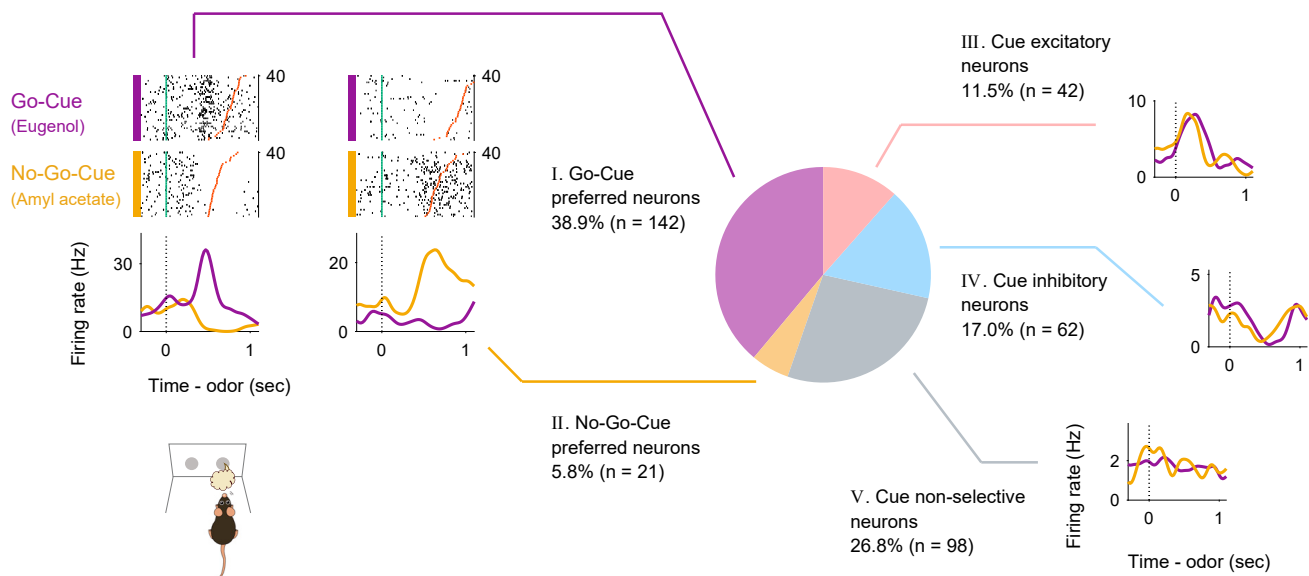
(B) Nissl-stained frontal section (arrows indicate tips of the tetrodes) and recording tracks (vertical thick lines) of the NLOT. The pink areas show layer II of the NLOT. APC, anterior piriform cortex. Scale bar, 500  $\mu$ m.

(C) The reaction times (from the onset of odor presentation to the timing of nose withdrawal, right axis, brown) in the odor-guided go/no-go tasks without keeping the mice's nose inserted into the odor port. To test the time lag between the start of odor stimulation and the arrival of the odor molecules to the mouse's nose, we verified odor presentation durations of 100, 200, 300, and 500 ms in each session. When the duration of odor presentation was shortened, the mice showed a shorter reaction; however, the behavioral accuracy remained >80% (left axis, orange), indicating that the arrival of the odors was within 400 ms after odor valve opening, and that the mice could make decisions within the period.

## RESULTS

We obtained recordings from 365 well-isolated neurons in the NLOT (median baseline firing rate = 0.90 Hz, interquartile range = 0.23–3.07 Hz; median spike width = 0.53 ms; median inter-spike interval = 134 ms) of four mice performing odor-guided go/no-go tasks (Figures 1A and 1B). Briefly, the go trial required the mice to first sample a go-cue odor stimulus presented at an odor port and then to move to a reward port to obtain a water reward. Conversely, the no-go trial required the mice to first sample a no-go-cue odor stimulus presented at the odor port and then to stay near it to wait for the next trial. It is important to note that the mice were required to keep their nose inserted into the odor port during odor presentation (500 ms). After the mice were well trained, their behavioral accuracy remained >80% throughout the session. For all mice, the median of the odor-sampling epoch (the time from odor valve opening until the withdrawal of the snout by the mouse from the odor port) was 788 ms (interquartile range: 669–962 ms) in the go trials and 642 ms (interquartile range: 562–798 ms) in the no-go trials (44 sessions from four mice).

However, it is possible that these reaction times reflect the time lag between the start of odor stimulation and the arrival of the odor molecules to the mouse's nose. We, therefore, examined how quickly the mouse decides on its behavior by shortening the duration of odor presentation and measuring the reaction time (from the onset of odor presentation to the timing of nose withdrawal) (Figure 1C). When the duration of odor presentation was shortened without the forced nose-poking during odor presentations, the mice showed shorter reaction times; however, the behavioral accuracy remained >80%. These results indicate that the arrival of the odors was at least within 400 ms after the opening of the odor valve, and the mice could make decisions within the odor presentation. In the following sections, we describe our analyses of the neural activity recorded during odor-sampling and the following odor-guided behaviors.



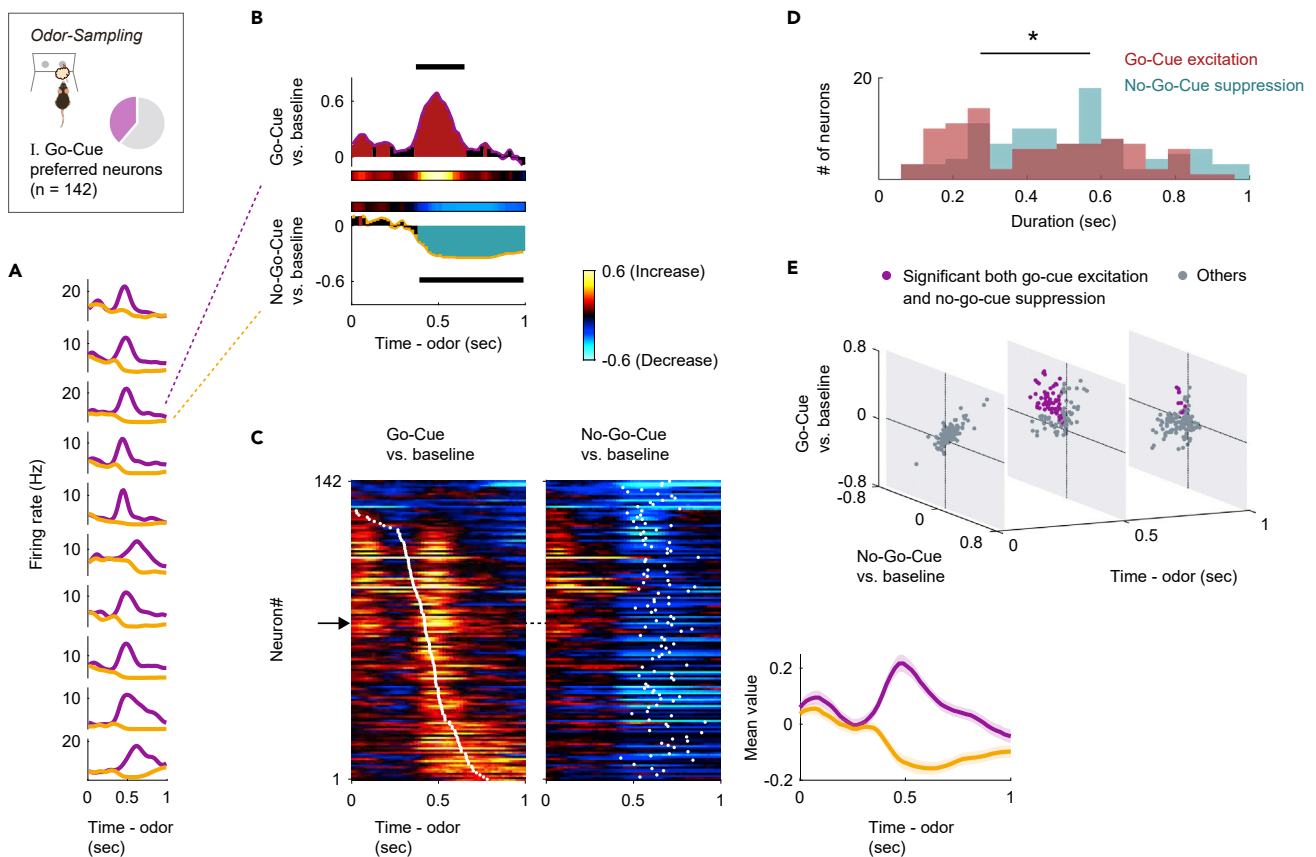
**Figure 2. Nucleus of the lateral olfactory tract (NLOT) neuron activity patterns during the odor-guided go/no-go task**

Example firing patterns of NLOT neurons during the odor sampling epoch (the time from odor valve opening to odor port exit) in the odor-guided go/no-go task. Each row contains the spikes (black ticks) for one trial, aligned to the time of odor valve opening (corresponding to the odor port entry, green ticks). Red ticks refer to the times of odor port exit. The correct trials are grouped by odor, and within each group, the trials are sorted by the duration of the odor-sampling epoch (40 trials selected from the end of the session are shown per category). Histograms are averaged across odors and calculated using a 20 ms bin width and smoothed by convolving spike trains with a 60-ms-wide Gaussian filter (purple, go-cue odor; orange, no-go-cue odor). The vertical dashed lines indicate the time of odor valve opening. NLOT neurons were classified into five types (purple pie, type I; orange pie, type II; pink pie, type III; light blue pie, type IV; and gray pie, type V) based on the odor-sampling epoch response (Figure S1).

### Five-type classification of NLOT neurons based on odor-sampling epoch response

As the NLOT receives direct inputs from the mitral cells of the olfactory bulb, we first focused on the neural activity during the odor-sampling epoch. We observed that the firing rates of the NLOT neurons increased or decreased during the odor-sampling epoch. For a large subset of neurons, these firing rate changes depended on whether the presented odor was a go-cue or no-go-cue (examples shown in Figure 2, left). To quantify the dependence of the firing rate on cue odor presentation, we used a receiver operating characteristic (ROC) analysis approach. We calculated the firing rate changes from baseline (1,000–0 ms before the end of the inter-trial interval) during the odor-sampling epoch. Across the population, 73.2% of the NLOT neurons exhibited significant responses to at least one cue odor presentation (Figure S1,  $p < 0.01$ , permutation test). In this cue odor selective population, we also calculated the preference for go-cue and no-go-cue odor presentation. We observed that 53.2% of the population showed a significant go-cue odor preference, whereas 7.9% showed a significant no-go-cue odor preference (Figure S1,  $p < 0.01$ , permutation test). The other population exhibited increased or decreased responses to both go-cue and no-go-cue odor presentations. Thus, most of the NLOT neurons showed a wide variety of firing rate changes during an odor-sampling epoch.

Based on these response profiles of the odor-sampling epoch, we classified the NLOT neurons into five response types (Figures 2 and S1, see transparent methods). The first neuron group (type I, 38.9% of all neurons) exhibited significant preference for the presented go-cue odor; we will refer to these as “go-cue responsive neurons” (purple pie chart in Figures 2 and S1). The second neuron group (type II, 5.8% of all neurons) exhibited significant preference for the presented no-go-cue odor; we will refer to these as “no-go-cue responsive neurons” (orange pie chart in Figures 2 and S1). Two other neuron groups (types III and IV, 11.5% and 17.0% of all neurons, respectively) showed significant excitatory and suppressed responses, respectively, for both presented cue odors without preference for a particular cue odor; we will refer to these as “cue excitatory neurons” (pink pie chart in Figures 2 and S1) and “cue suppressed neurons” (light blue pie chart in Figures 2 and S1), respectively. The remaining neuron group (type V, 26.8% of all neurons) did not show significant responses for either presented cue odors; we will refer to these as “cue non-responsive neurons” (gray pie chart in Figures 2 and S1). This classification demonstrated the diverse cue encoding patterns in the NLOT, suggesting that the NLOT neurons did not represent a particular odorant profile from the olfactory bulb; instead, they represented the complex and diverse odor information leading to odor-guided behaviors.



**Figure 3. Go-cue responsive neurons show phasic excitation to go-cue odor and persistent suppression to no-go-cue odor**

(A) Example firing patterns of go-cue responsive neurons during the odor-sampling epoch. Spike histograms are calculated using a 20 ms bin width and smoothed by convolving spike trains with a 60-ms-wide Gaussian filter (purple line, go-cue odor; orange line, no-go-cue odor).

(B) Example of the area under the receiver operating characteristic curve (auROC) values for a go-cue responsive neuron. The auROC values (aligned by odor valve opening) were calculated by go-cue odor presentation versus baseline (top), and no-go-cue odor presentation versus baseline (bottom) in the sliding bins (width, 100 ms; step, 20 ms). The red bars show significant excitation, and blue bars show significant suppression ( $p < 0.01$ , permutation test). Based on the significant time points, the response “durations” (black horizontal lines) were calculated.

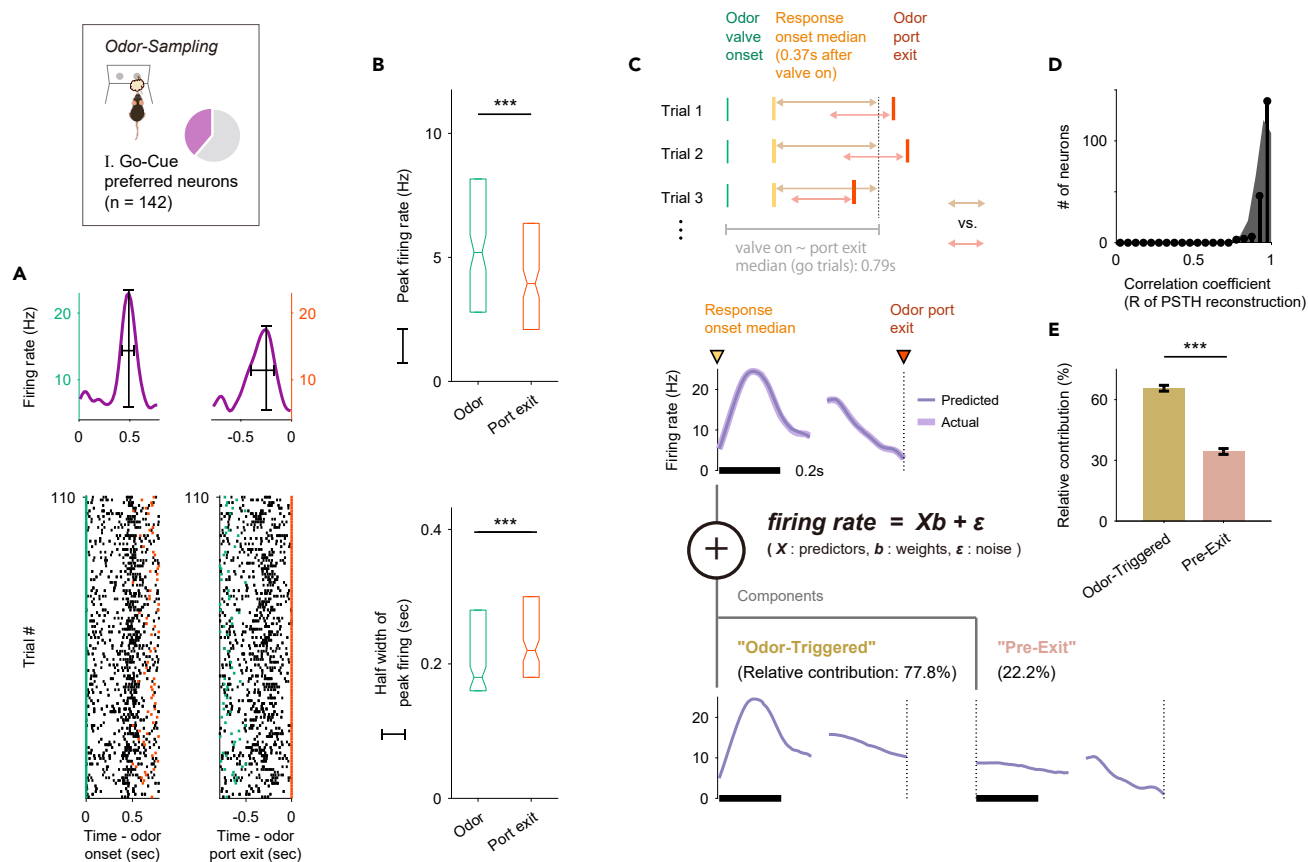
(C) The auROC values for go-cue responsive neurons ( $n = 142$ , type I neurons). Each row corresponds to one neuron, with neurons in the left and middle graphs in the same order. The neurons are sorted by the times of center of mass (white dots) of the auROC values calculated by go-cue odor presentation versus baseline. The color scale is as in (B). An arrow indicates the same neuron as in (B). The average firing patterns of go-cue responsive group during the odor-sampling epoch (right).

(D) Distributions of the response durations for significant excitations (red) and significant suppressions (blue). Statistical significance between excitations and suppressions ( $*p < 0.05$ ) was assessed by the Wilcoxon rank-sum test.

(E) Time course of excitation to go-cue odor and suppression to no-go-cue odor. Purple dots, significant both go-cue excitation and no-go-cue suppression ( $p < 0.01$ , permutation test); gray dots, other responses.

### Go-Cue responsive neurons bidirectionally encode cue odors with excitations and suppressions

Among the go-cue responsive neurons (type I neurons,  $n = 142$ ), which represented the major population of the NLOT neurons (Figure 2), each neuron showed a sharp peak in the firing rate after  $\sim 600$  ms of go-cue odor presentation and persistent suppression during the latter part of the no-go-cue odor-sampling epoch (Figure 3A). To quantify the dynamics of this bidirectional cue encoding, we calculated the firing rate changes from the baseline (200–0 ms before the end of the inter-trial interval) in the sliding bins during the odor-sampling epoch for each neuron. For each accurate trial type, we calculated the area under the ROC curve (auROC) value at each time bin (width: 100 ms, step: 20 ms) (Figures 3B and 3C) and three measures from the auROC values: “onset time,” “time of center of mass,” and “duration” (see transparent methods). The durations of the go-cue excitation responses were sharper ( $p < 0.05$ , Wilcoxon rank-sum test) than those of the no-go-cue suppression responses (Figure 3D). The no-go-cue suppression



**Figure 4. Go-cue excitation response triggered by odor onset rather than the initiation of an odor-guided behavior**

(A) The activity of an example go-cue responsive neuron aligned to onset of odor valve opening (left, green ticks) or odor port exit (right, red ticks). Raster plots represent the neural activity with each row corresponding to a single trial from the start of the session (bottom) to the 110th trial (top), and each black tick marks a spike. The peak firing rate (black vertical line) and temporal half-width of the peak firing (black horizontal line) are defined from the spike histogram.

(B) Comparison of the peak firing rates (top) and half widths of the peak firings (bottom) between the two alignment conditions (odor valve opening versus odor port exit). The peak firing rates were higher when triggered by odor valve opening ( $***p < 10^{-15}$ , Wilcoxon signed-rank test). Half widths of the peak firings were longer when triggered by odor port exit ( $***p < 0.001$ , Wilcoxon signed-rank test).

(C) Schematic of the encoding model used to quantify the relationship between behavioral variables and the activity of each neuron. Inset, predicted and actual averaged firing rate relative to the odor onset and odor port exit for one neuron.

(D and E) (D) Correlation coefficients (R of PSTH reconstructions) between predicted and actual averaged firing rate relative to the odor onset and odor port exit across the go-cue responsive neurons. (E) Relative contribution of each behavioral variable to the explained variance of the neural activity, averaged across the go-cue responsive neurons. Relative contribution of the odor-triggered response was significantly higher than that of the pre-odor port exit ( $***p < 10^{-9}$ , Wilcoxon signed-rank test). All error bars are standard error of the mean (SEM).

responses were sustained until the mice withdrew their snouts from the odor port. For each neuron, both the go-cue excitation response and the no-go-cue suppression response were observed at 450–550 ms after the odor onset (Figures 3E and S2,  $p < 0.01$ , permutation test). Thus, each go-cue responsive neuron exhibited both sharp go-cue excitation and persistent no-go-cue suppression at specific times during the odor-sampling epoch.

It is possible that the sharp go-cue excitation responses correlated with the execution of the go behaviors or contained the premotor signals. To verify this possibility, we compared the peak firing rates and the half-width of firing in the go-cue excitation between the two alignment conditions (odor valve opening versus odor port exit). We observed that the peak firing rates were higher relative to the odor onset ( $p < 10^{-15}$ , Wilcoxon signed-rank test) and the temporal organizations were significantly tighter ( $p < 0.001$ , Wilcoxon signed-rank test) than the firing rate relative to the odor port exit (Figures 4A and 4B). Moreover, we developed an encoding model (generalized linear model) that incorporated task-related variables from 370 ms



after the odor onset (corresponding to the time that is the median of the go-cue excitation onsets measured by the auROC values) to the odor port exit as predictors of each neuron's activity to help isolate the go-cue excitation responses triggered by odor onset and action (Figures 4C and 4D) (Engelhard et al., 2019). Using this encoding model, we quantified the relative contribution of each behavioral variable to the response of each neuron by determining how much the explained variance declined when that variable was removed from the model (see transparent methods). Averaged across the go-cue responsive neurons, the relative contribution of the odor-triggered response ( $65.6\% \pm 1.4\%$  of the total variance explained, mean  $\pm$  standard error of mean [SEM]) was significantly higher than that of the pre-odor port exit ( $34.4\% \pm 1.4\%$ ) ( $p < 10^{-9}$ , Wilcoxon signed-rank test) (Figure 4E). These results indicated that the go-cue excitation responses of the go-cue responsive neurons were triggered by odor onset rather than pre-motor activities. Furthermore, distinct cue responses were observed in the correct go trials, and not in the trials that were correct no-go, error, or odorless (Figure S3A), suggesting that the distinct go-cue excitation responses reflected signals eliciting appropriate motivational behavior. Notably, the intensities of the majority of the cue responses remained stable across trials (Figure S3B). In conclusion, the distinct go-cue excitation responses were triggered by odor onset and were stable with respect to the appropriate odor-guided behaviors.

### NLOT neuron population exhibits rapid response dynamics before executing cue-odor-evoked behaviors

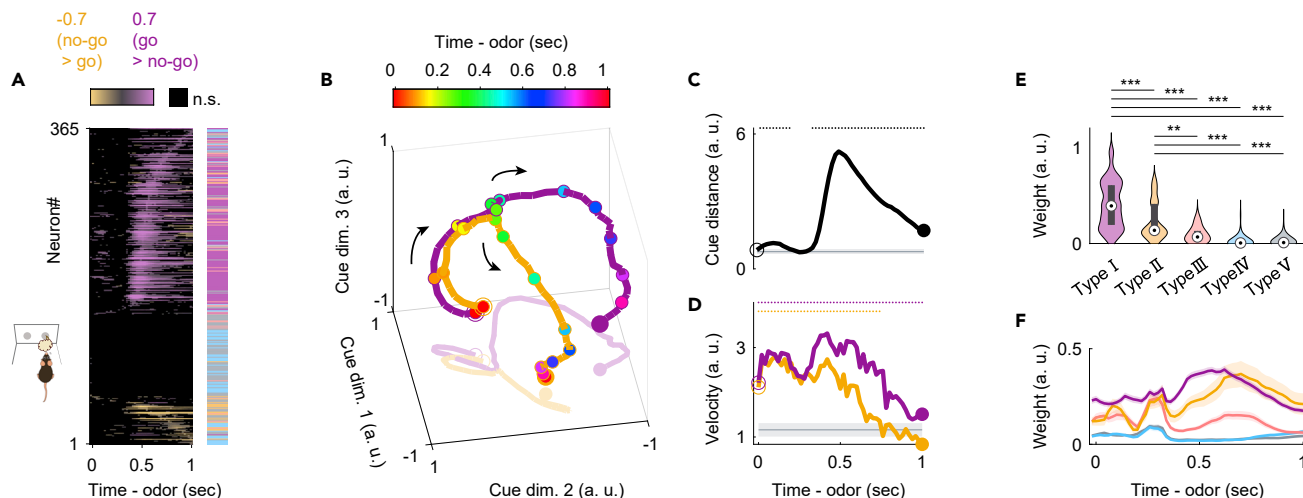
We demonstrated that the go-cue responsive neurons exhibited specific temporal dynamics during odor sampling as a representative population of NLOT neurons (Figure 3). Similarly, the no-go-cue responsive neurons exhibited both no-go-cue excitation and persistent go-cue suppression during cue odor presentation (Figures 2 and S5A). The cue excitatory neurons and the cue suppressed neurons also changed their firing rates during odor sampling (Figures 2 and S5A). Thus, the NLOT neurons exhibited diverse firing patterns and complex temporal dynamics during odor sampling. In this section, we examine the NLOT population encoding and the contribution of each neuron group during odor sampling using different methods of analysis.

Calculating go-cue versus no-go-cue preference during odor sampling clearly showed the strong encodings of cue preference at 400–500 ms after odor onset across the population (Figure 5A,  $p < 0.01$ , permutation test). To gain insight into the dynamics of the population response, we visualized the average population activity using principal-component analysis, a dimensionality reduction method (Figure S4A). Figure 5B shows the trajectories of the mean response of the NLOT neuron population to go-cue and no-go-cue odors, represented as the projections onto the first three principal components (PC) during the odor-sampling epoch. Throughout the  $\sim 300$ -ms interval from the odor onset, trajectories remained converged, showing little difference across conditions. Over the late phase of odor sampling, specifically 400–500 ms from the odor onset, trajectories in the odor-sampling epoch subspace began to spread out and were clearly separated at the population level. To quantify these observations, we measured the instantaneous separation between the population cue responses (Figure 5C). The separation reached a maximum at  $\sim 500$  ms and remained above the baseline levels until the odor port exited. Additionally, we calculated the rate at which the population activity vectors changed (width: 100 ms, step: 20 ms; Figure 5D). These rates increased to a maximum within  $\sim 500$  ms and remained above the baseline levels over the initiation of cue-odor-evoked behaviors (go or no-go behaviors). Thus the NLOT neuron population showed dramatic transformations in the dynamics of cue encoding at 400–500 ms after odor onset.

Next, we examined the mechanism of the contribution of individual NLOT neurons to the population response to evaluate the absolute values of the PC coefficients as the neural weights (Figures 5E and S4A–S4C). The values of the neural weights in the first dimension of the odor-sampling epoch subspaces showed that type I neurons contributed considerably to the population response. To further examine the contributions along the time course, we calculated the absolute values of the PC coefficients in the sliding bins (width: 100 ms, step: 20 ms) during the odor-sampling epoch (Figures 5F and S4D). The values of the neural weights in the first dimension of each bin exhibited significant contributions of type I neurons to the population response, especially during 400–500 ms after the odor onset, corresponding to the dynamics of cue encoding. These results indicated that the go-cue responsive neurons strongly contributed to the profound transformations in the dynamics of NLOT cue encoding.

### NLOT neurons provided sufficient information to account for behavioral accuracy

To examine whether the population activity accounted for the animals' behavioral accuracy, we performed a decoding analysis. This analysis determined whether the firing rates of the NLOT neuron populations



**Figure 5. Nucleus of the lateral olfactory tract (NLOT) neuron population response before the initiation of odor-guided behaviors**

(A) The auROC values (go-cue versus no-go-cue odor presentation, aligned by odor valve opening) for all neurons. Each row corresponds to one neuron. Neurons are sorted by the peak time for the auROC values. The color scale indicates significant preferences ( $p < 0.01$ , permutation test; positive values correspond to the go-cue responsive responses). The black boxes indicate bins with non-significant preferences ( $p > 0.01$ , permutation test). The colored box on the right shows the neuron type for each neuron (purple, type I; orange, type II; pink, type III; light blue, type IV; gray, type V).

(B) Visualization of the NLOT neuron population responses during odor-sampling epoch using principal-component analysis ( $n = 365$  NLOT neurons). The responses to cue odors are projected onto the first three principal components corresponding to the odor-sampling epoch subspaces. Purple line, go-cue odor; orange line, no-go-cue odor. Temporal progression is depicted from unfilled purple/orange spheres to filled purple/orange spheres.

(C) The distance between NLOT neuron population responses. The gray line and shaded areas show the mean  $\pm 2$  standard deviation (SD) baseline values during the baseline epoch. Top dots indicate the time bins showing values more than mean  $+2$  SD baseline values.

(D) Rate of change (velocity) of NLOT neuron population responses. Purple line, go-cue odor; orange line, no-go-cue odor. The gray line and shaded areas show the mean  $\pm 2$  SD baseline values during the baseline epoch. Top dots indicate the time bins showing values more than mean  $+2$  SD baseline values.

(E) Neural weights in the first dimension of the odor-sampling epoch subspaces. Box plots in violin plots indicate medians and interquartile ranges. Purple, type I; orange, type II; pink, type III; light blue, type IV; gray, type V. The statistical significance among five groups (\*\* $p < 0.01$ , \*\*\* $p < 0.001$ ) was assessed by one-way analysis of variance (ANOVA) with Tukey's post hoc test.

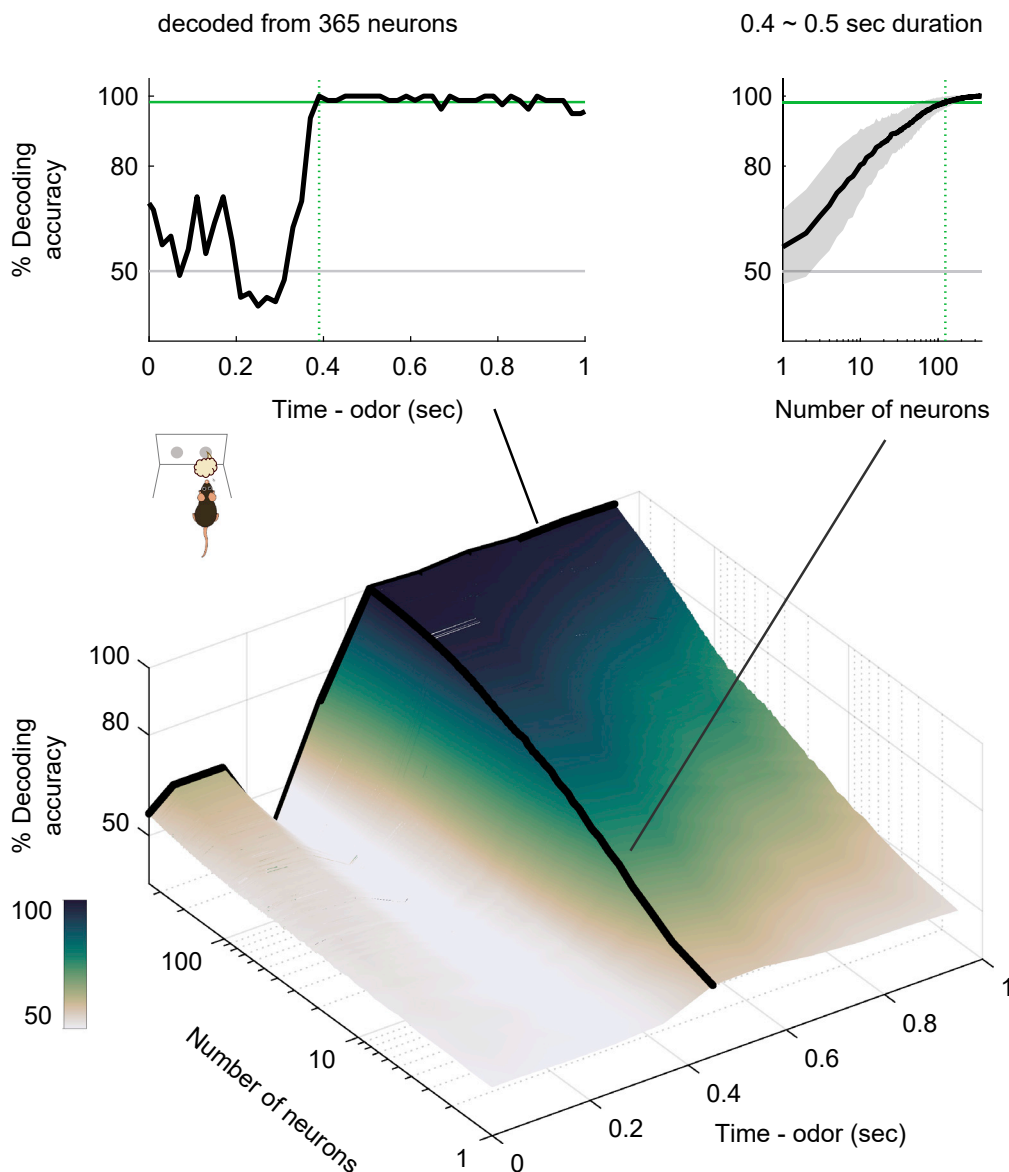
(F) Neural weights along the time course in the first dimension of each sliding bin (width: 100 ms, step: 20 ms). The shaded areas represent  $\pm$  standard error of the mean (SEM). Purple, type I; orange, type II; pink, type III; light blue, type IV; gray, type V.

could be used to classify each individual trial as go or no-go. We used support vector machines with linear kernels as a decoder. Analyses of the decoding time course based on NLOT neurons using a sliding time window revealed that the decoding accuracy was maintained at chance levels 300 ms after odor onset; subsequently, it dramatically increased above the level of animals' behavioral accuracy 400–500 ms after the odor onset (Figure 6). In the 400–500 ms period, 124 neurons provided sufficient information to account for behavioral accuracy (the top right panel in Figure 6). Thus,  $<150$  NLOT neurons provided sufficient information to account for behavioral accuracy at least 500 ms after odor onset.

### Bidirectional cue-outcome encoding following odor-guided behaviors

Our analyses of the dynamics of cue encoding suggest that several NLOT neurons maintained cue selective responses during cue-odor-evoked behaviors after odor sampling. Notably, the persistent suppression responses of type I neurons during no-go-cue odor sampling were sustained over the odor port exit (Figures 3B–3D). This raises the question of whether the selectivity disappears or persists once the cue-odor-evoked behaviors are executed. By aligning the neural activity to behavioral events (event-aligned spike histograms, see transparent methods), we noticed that type I neurons were selective for the reward drinking behavior after go-cue odor sampling and the persistent suppression responses were sustained during the no-go waiting behavior after no-go-cue odor sampling (Figure 7A). We quantified the response profiles of each neuron group during odor-evoked behaviors by calculating the firing rate changes from baseline (Figures 7B, 7C, and S5A, the spike data were aligned to the odor port exit and water port entry). Across the population, several type I neurons showed significant excitatory responses for drinking behavior (purple histogram at the top in Figure 7B,  $p < 0.01$ , permutation test) and significantly suppressed responses for the no-go waiting behavior (purple histogram at the bottom in Figure 7C,  $p < 0.01$ , permutation test). The drinking responses of type I neurons were higher than those of other groups, and the no-go waiting



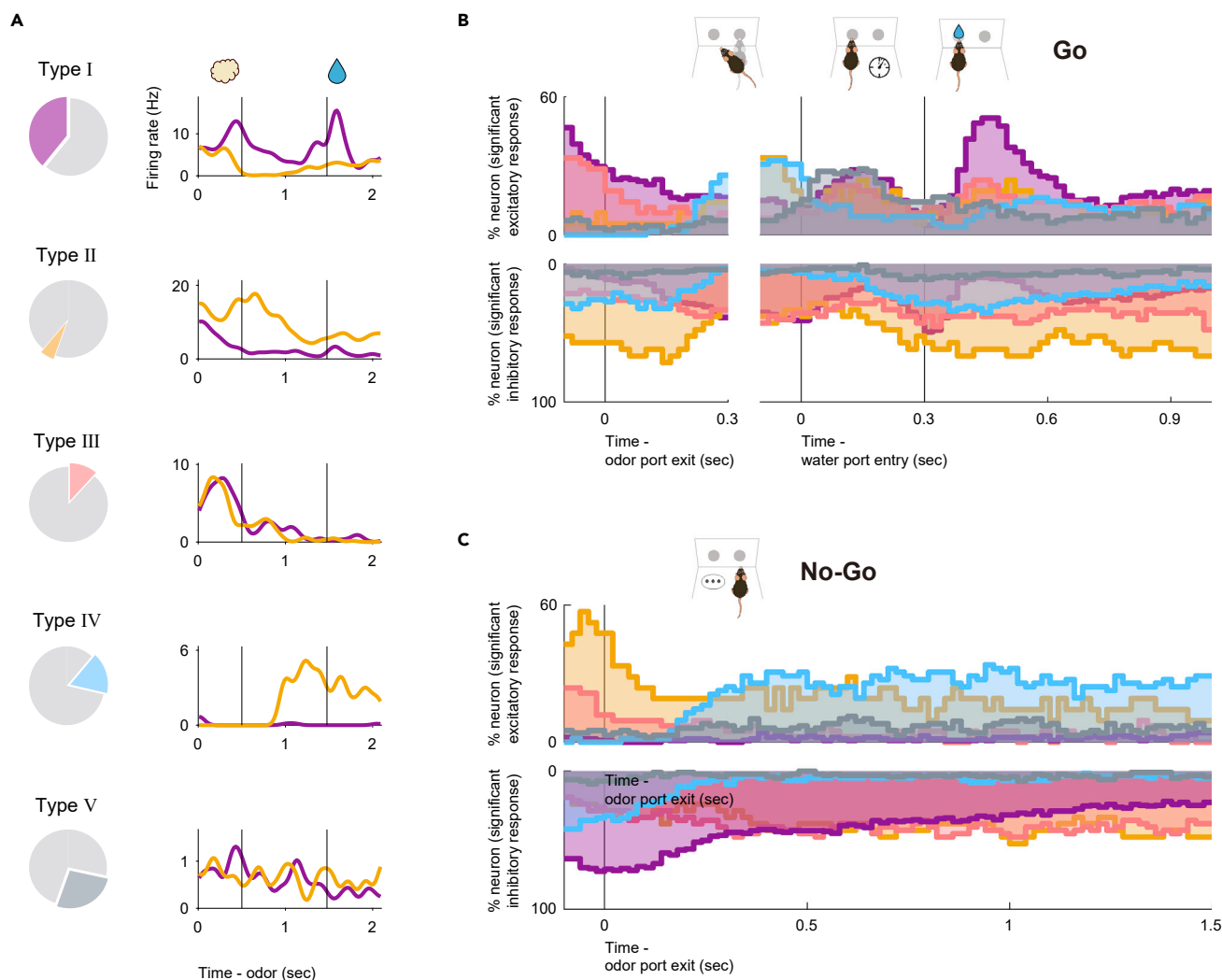


**Figure 6. Nucleus of the lateral olfactory tract (NLOT) neurons provided sufficient information to account for behavioral choices**

Time course of odor decoding accuracy. A vector consisting of instantaneous spike counts for 1–365 neurons in a sliding window (width, 100 ms; step, 20 ms) was used as an input for the classifier. Training of the classifier and testing was performed at every time point. Green horizontal lines indicate the level of animal behavioral performance. Gray horizontal lines indicate chance levels (50%). Green vertical dashed lines indicate the first points wherein the decoding accuracy reached the level of the animal behavioral performance. Areas with shading represent  $\pm$ SD.

responses of type I neurons were lower than those of other groups, indicating that they were type I neuron-specific responses (Figure S5B, one-way analysis of variance with Tukey's post hoc test). For each neuron, the suppressions were maintained for 800 ms (interquartile range: 290–1,480 ms) from the initiation of the no-go behavior. Thus, type I neurons exhibited associations between the go-cue excitations and excitatory responses for drinking behavior with persistent no-go-cue suppressions, suggesting that NLOT neurons are involved in cue-outcome associations.

We aimed to determine whether other neuron groups responded to cue-odor-evoked behavioral states. Several type II neurons showed significantly suppressed responses for drinking behavior (orange histogram



**Figure 7. Nucleus of the lateral olfactory tract (NLOT) neurons exhibits bidirectional cue-outcome encoding following odor-guided behaviors**

(A) Example firing pattern of each neuron group following odor-guided behaviors. Event-aligned spike histograms are calculated using a 20 ms bin width and smoothed by convolving spike trains with a 60-ms-wide Gaussian filter (purple line, go trial; orange line, no-go trial). Vertical black lines indicate the odor valve offset and the onset of water reward.

(B and C) (B) The proportions of neurons that exhibited significant responses were calculated from the auROC values ( $p < 0.01$ , permutation test) in correct go trials for each neuron group (top, excitation; bottom, suppression). Vertical black lines indicate the odor port exit, water port entry, and the onset of water reward. Purple, type I; orange, type II; pink, type III; light blue, type IV; gray, type V. (C) Same as (B), for correct no-go trials.

at the bottom in Figure 7B,  $p < 0.01$ , permutation test) and significant excitatory responses for the no-go waiting behavior (orange histogram at the top in Figure 7C,  $p < 0.01$ , permutation test). The drinking responses of type II neurons were lower than those of type I neurons, and the no-go waiting responses of type II neurons were higher than those of type I neurons (Figure S5B, one-way analysis of variance with Tukey's post hoc test). Thus, the type I and type II neurons contrastingly encoded the go/no-go behavioral states after odor sampling. Furthermore, a subset of types III, IV, and V neurons tended to show an excitatory response in a specific time window in behavioral epochs, with suppressed responses relative to other behavioral epochs (Figure S5C), which is consistent with our previous findings in the ventral tenia tecta of the olfactory cortex (Shiotani et al., 2020). In particular, each type IV neuron maintained the excitatory response to the no-go waiting state (light blue histogram at the top in Figure 7C,  $p < 0.01$ , permutation test) for 560 ms (interquartile range: 205–1,200 ms), from 290 ms (interquartile range: 210–650 ms) after initiation of the no-go behavior. These results indicate that each NLOT neuron group showed a specific firing pattern during odor-guided behaviors, depending on the response profiles in the odor-sampling epochs.

## DISCUSSION

### Electrophysiological features of NLOT neurons

The purpose of the study was to understand the electrophysiological features of NLOT neurons in decision-making processes that occur during various behavioral states in odor-guided go/no-go tasks. In this study, we provided the first recording of neuronal activity in the NLOT in freely behaving mice performing odor-guided go/no-go tasks. Indeed, NLOT neurons exhibited diverse neural activities in response to odor presentations and cue odor-evoked behaviors in the task.

Previous anatomical studies have shown that the NLOT receives odor information from the olfactory bulb and various areas of the olfactory cortex, including the piriform cortex (Luskin and Price, 1983; Price, 1973). Subsequently, NLOT neurons project to the ventral striatum consisting of the olfactory tubercle (OT) and the nucleus accumbens (NAc), and also send the axons into the BLA (Luskin and Price, 1983; Price, 1973; Santiago and Shammah-Lagnado, 2004), which plays a critical role in regulating motivated behaviors (Cox and Witten, 2019; Janak and Tye, 2015; Murata et al., 2015). Moreover, a recent study (Vaz et al., 2017) has shown that NLOT-lesioned rats exhibited olfactory-related behavioral deficits with an inability to identify and discriminate between odors and interfere with the display of innate odor-evoked behaviors, such as sexual behavior, aggression, and avoidance of predators. Despite the accumulation of knowledge, the role of NLOT in the functional circuit to convert odor information into appropriate behaviors has not been clarified.

In this study, we classified five types of neurons based on their firing patterns during the odor-sampling epochs. A majority of NLOT neurons (type I neurons, go-cue responsive neurons) exhibited phasic excitatory responses during go-cue odor-sampling epochs and sustained suppressed responses during no-go-cue odor-sampling epochs (Figures 2, 3, and 4). The activity pattern of the no-go-cue responsive neurons (type II neurons) was opposite to that of the go-cue responsive neurons. These bidirectional cue encoding patterns were similar to the cue encoding in the brain reward circuit, including the ventral striatum and the ventral tegmental area (Cohen et al., 2012; Menegas et al., 2017; Stephenson-Jones et al., 2020) rather than the olfactory circuit (Cury and Uchida, 2010; Miura et al., 2012; Uchida et al., 2014) during cue-outcome association tasks. We also demonstrated that the go-cue and no-go-cue responsive neurons highly contributed to the population dynamics of cue encoding and decoding, for accuracy of the animal's choices (Figure 5), suggesting that these bidirectional response neurons for cue odors effectively provided sufficient information to account for the behavioral choices. These bidirectional cues encoding a small number of neurons with a high level of information may be effective in the NLOT with only a small volume of 0.24 mm<sup>3</sup> and 19,000 neurons (Vaz et al., 2016).

The go-cue responsive neurons also showed firing activities during drinking behavior (Figure 7B), consistent with other brain areas involved in motivational processes (Steinmetz et al., 2019; Watabe-Uchida et al., 2017). Additionally, the go-cue responsive neurons exhibited suppressed responses to the no-go waiting behavioral states (Figures 7C and S5B). Moreover, the no-go-cue responsive neurons suppressed their firing activity during the go behavioral states and exhibited excitatory activities in the no-go behavioral states (Figures 7B, 7C, and S5B). These results suggest that these NLOT neurons functionally associated cue odor with the precise task outcomes derived from odor information. In other olfactory cortical areas, including the piriform cortex and OT, the odor-reward association reflects the cue odor responses (Calu et al., 2007; Gadziola et al., 2015, 2020; Gadziola and Wesson, 2016; Millman and Murthy, 2020; Roesch et al., 2007). Although further studies are required to determine whether cue responses in the NLOT represent cue-reward learning, these cue-reward combination activities may play a role in odor-reward association learning. We speculated that the NLOT is one of the critical components of the circuitry responsible for creating and providing signals eliciting appropriate behaviors. Owing to the function of NLOT, we assumed that the lesion in NLOT caused suppression of olfactory-driven behaviors (Vaz et al., 2017).

Although we suggested that the distinct go-cue excitation responses of the NLOT neurons reflected motivation signals eliciting appropriate behavior, it may be possible that they reflect action-specific responses, odor-specific responses, and valence-specific responses. We showed that the go-cue excitation responses were triggered by odor onset rather than by action-specific activities (Figure 4). However, we cannot rule out other possibilities because of using one go-cue and one single no-go-cue in this study. Future experiments are required to build on the paradigm to test these possibilities.

The subsets of types III, IV, and V neurons exhibited an excitatory response in a specific time window in behavioral epochs and suppressed responses relative to other behavioral epochs (Figure S5C). Similarly, our recent study has revealed that the individual neuron in the ventral tenia tecta, which is a part of the olfactory cortex, is tuned to a specific behavioral state in mice encountered during odor-guided behaviors (Shiotani et al., 2020). Moreover, a recent study has shown the brain-wide global representation of state-dependent activity during odor-guided motivated behavior (Allen et al., 2019). Together, the context-dependent activities of the types III, IV, and V neurons may contribute to the brain-wide specific information processing mode in the brain, and be shared across the olfactory cortex.

What are the similar and different electrophysiological features between NLOT and other olfactory areas? The olfactory areas, including the olfactory bulb (Cury and Uchida, 2010), showed excitatory responses to some cue-odors during the odor-sampling epoch in a goal-directed task. About 20%–40% of anterior and posterior piriform cortex neurons were activated by at least one of some odors tested in tasks (Calu et al., 2007; Roesch et al., 2007; Miura et al., 2012; Gadziola et al., 2020), whereas about 60%–70% of OT neurons relating to motivational information processing were activated (Gadziola et al., 2015, 2020), corresponding to the NLOT neurons (Figure 2). We noticed that the average peak firing of the cue selective responses in types I and II neurons was later than that of the piriform cortex and OT neurons (Figure 2, Miura et al., 2012). One possibility is that the delayed responses might have been caused by the forced long poke during odor presentation. In this study, the mice were required to keep their nose into the odor port during a 500-ms odor presentation. However, a large number of piriform cortex neurons in rats can discriminate cue odors within 100 ms of the onset of first inhalation during odor presentation in the forced long poke condition (Miura et al., 2012). Indeed, in our experimental conditions, several NLOT and ventral tenia tecta neurons exhibited firing activities on the morrow of the odor valve onset (Figure S5C, Shiotani et al., 2020). Therefore, we assumed that the mice received cue odor information just after odor onset, even in our experimental condition. It is possible that the responses with long latency in the odor presentation state are mainly due to indirect multi-synaptic odor input from the olfactory bulb through the olfactory cortical areas, such as the piriform cortex or top-down inputs from higher brain areas rather than direct mitral cell inputs from the olfactory bulb. If so, as NLOT may receive discriminated odor information, we are also considering one possibility that these delayed responses code for one of the variabilities constituting the building blocks of the decision-making process, which translate odor information into appropriate behavior rather than odor discrimination. Taken together, NLOT may be functionally differentiated from other olfactory cortical areas with respect to odor-guided behavior.

### Neural circuits including the NLOT

Olfactory information is transmitted to the NLOT with a three-layered structure (layers I, II, and III). The NLOT layer II neurons contribute to >80% of the total neuronal population of the NLOT (Vaz et al., 2016) and project to the dwarf cell regions in the OT (Santiago and Shammah-Lagnado, 2004). The OT sends a major projection to the ventral pallidum regulating expected positive and negative valences (Richard et al., 2016; Saga et al., 2017; Tachibana and Hikosaka, 2012). The layer II neurons also project to the NAc shell (Santiago and Shammah-Lagnado, 2004) that processes hedonic or motivational values (Castro et al., 2015; Kelley, 2004; Zorrilla and Koob, 2013). Similar to the neural responses in these areas, we demonstrated the reward-predicting cue and reward signals of type I neurons in the NLOT (Figures 3 and 7). Based on a recent frontal cortex research showing a connectivity-defined neuron type that carries a single variable (Hirokawa et al., 2019), we speculated that type I neuron outputs in the NLOT layer II to the OT and NAc contribute to the encoding of positive or negative valences of expected and actual outcomes, and hedonic or motivational value.

Conversely, the NLOT layer III neurons project to the BLA (Santiago and Shammah-Lagnado, 2004), which is an essential component of the amygdala underlying fear conditioning memory (Janak and Tye, 2015; Zhang et al., 2020). We demonstrated the no-go-cue responses of the type II neurons (Figure 7) and the sustained positive responses to the no-go behaviors of type IV neurons. We assumed that these specific firing patterns in the NLOT layer III might contribute to the fear-conditioning memory circuits. However, we did not verify the firing pattern of NLOT neurons in fear memory tasks. Future experiments are required to monitor the changes in the firing activity in the NLOT during odor-punishment association tasks.

In conclusion, we extended the concept that NLOT integrity is required for normal functioning of the olfactory system (Vaz et al., 2017), and hypothesized that the NLOT plays a critical role in providing odor information that elicits appropriate behavioral motivation in the motivation circuits in odor-guided behavior.

From a broad perspective, the verification of this hypothesis may have important implications for studying and leveraging neural circuits underlying odor-evoked motivation in health and disease.

### Limitation of the study

We acknowledge that there are several limitations to this study. First, although we performed the first *in vivo* recording of neuronal activity in the NLOT during only an odor-guided go/no-go task, our data do not reflect the neuronal activity across different cue modalities, behavioral paradigms, and contexts. However, our data are potentially important in that the NLOT neural activity in freely behaving mice is modulated by the motivation of learned, odor-guided, and goal-directed behaviors and may provide basic information regarding NLOT encoding in positive and negative motivational contexts, reversal learning, and innate odor-driven behaviors (Root et al., 2014). Second, a direct relationship between the distinct cue response of NLOT neurons and context-dependent motivated behaviors is unclear. Third, the response profiles and functions of the NLOT-specific projections to OT, NAc, and BLA on motivational processes have not yet been clarified. By using optogenetic manipulation or the fiber photometry tool to monitor cell-type and projection-specific population activity, future studies can build on the paradigm and findings described here to address how the NLOT interacts with the projected areas to mediate the processes necessary for odor-guided behavior.

### Resource availability

#### Lead contact

Further information and requests for resources and reagents should be directed to and will be fulfilled by the lead contact, Hiroyuki Manabe ([hmanabe@mail.doshisha.ac.jp](mailto:hmanabe@mail.doshisha.ac.jp)).

#### Materials availability

This study did not generate unique reagent.

#### Data and code availability

The datasets analyzed and produced in the present study are available at [https://github.com/Manabe-Team/paper2021\\_NLOT](https://github.com/Manabe-Team/paper2021_NLOT).

## METHODS

All methods can be found in the accompanying [Transparent methods supplemental file](#).

## SUPPLEMENTAL INFORMATION

Supplemental information can be found online at <https://doi.org/10.1016/j.isci.2021.102381>.

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## AUTHOR CONTRIBUTIONS

Y.T., K.S., and H.M. designed the experiments; Y.T., K.S., and H.M. performed the experiments. Y.T., K.S., J.H., and H.M. performed the data analysis. Y.T., K.S., and H.M. wrote the paper. Y.S. supported and advised the project.

## DECLARATION OF INTERESTS

The authors declare no competing interests.

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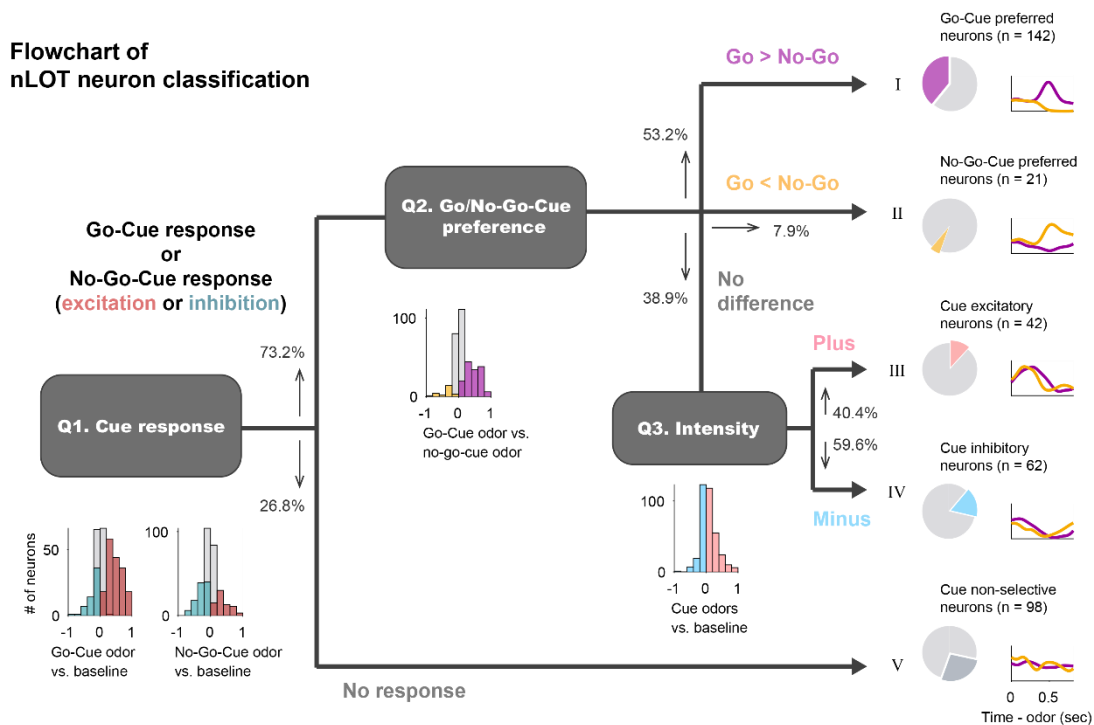


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## **Supplemental information**

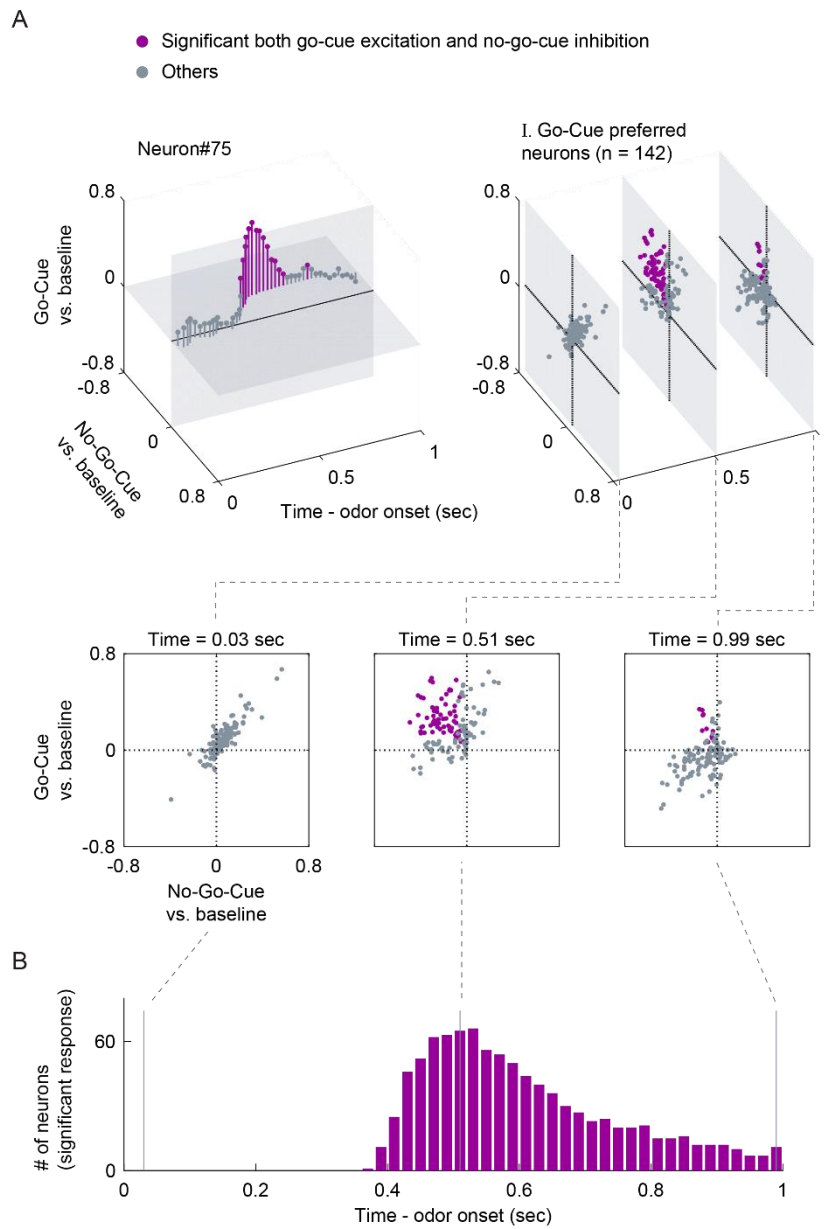
### **Bi-directional encoding of context-based odors and behavioral states by the nucleus of the lateral olfactory tract**

**Yuta Tanisumi, Kazuki Shiotani, Junya Hirokawa, Yoshio Sakurai, and Hiroyuki Manabe**



**Figure S1. Flowchart of Nucleus of the Lateral Olfactory Tract (NLOT) Neuron Classification, related to Figure 2.**

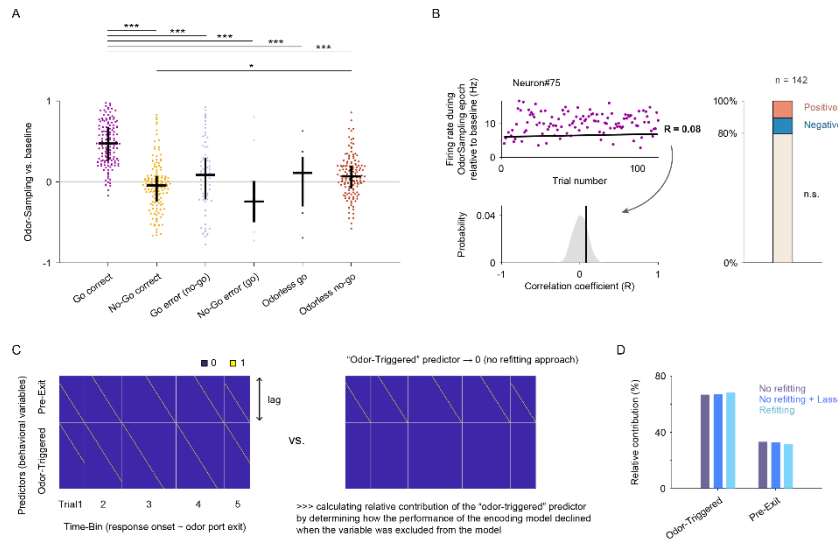
We classified the NLOT neurons into five types based on the response profiles of the odor-sampling epoch. First (Q1), we calculated the area under the receiver operating characteristic curve (auROC) values of go-cue versus baseline and no-go-cue versus baseline during the odor-sampling epoch in the correct trials (red histogram, significant excitation; blue histogram, significant suppression). Based on these values, we defined the cue odor selective population (73.2%) that exhibited significant responses in at least one cue odor presentation and cue odor non-selective population (26.8%, type V neurons). Second (Q2), in the cue odor selective population, we also calculated the auROC values of go versus no-go-cue during the odor-sampling epoch in the correct trials (purple histogram, significant go-cue > no-go-cue; orange histogram, significant go-cue < no-go-cue). Based on these values, we defined go-cue responsive neurons (53.2%, type I neurons) and no-go-cue responsive neurons (7.9%, type II neurons). Finally (Q3), in the remaining population (38.9%), we calculated the auROC values of cue odors (go-cue + no-go-cue) versus baseline during the odor-sampling epoch in the correct trials (pink histogram, excitation; light blue histogram, suppression). Based on these values, we defined cue excitatory neurons (40.4%, type III neurons) and cue suppressed neurons (59.6%, type IV neurons). For all aforementioned analyses, we tested for significance at  $\alpha = 0.01$  (permutation test).



**Figure S2. Evaluation of Go-Cue Excitation and No-Go-Cue Suppression Responses, related to Figure 3.**

(A) Time course of excitation to go-cue odor and suppression to no-go-cue odor. Purple dots, significant both go-cue excitation and no-go-cue suppression ( $p < 0.01$ , permutation test); gray dots, other responses.

(B) The number of neurons that exhibited significant responses calculated from the auROC values ( $p < 0.01$ , permutation test).



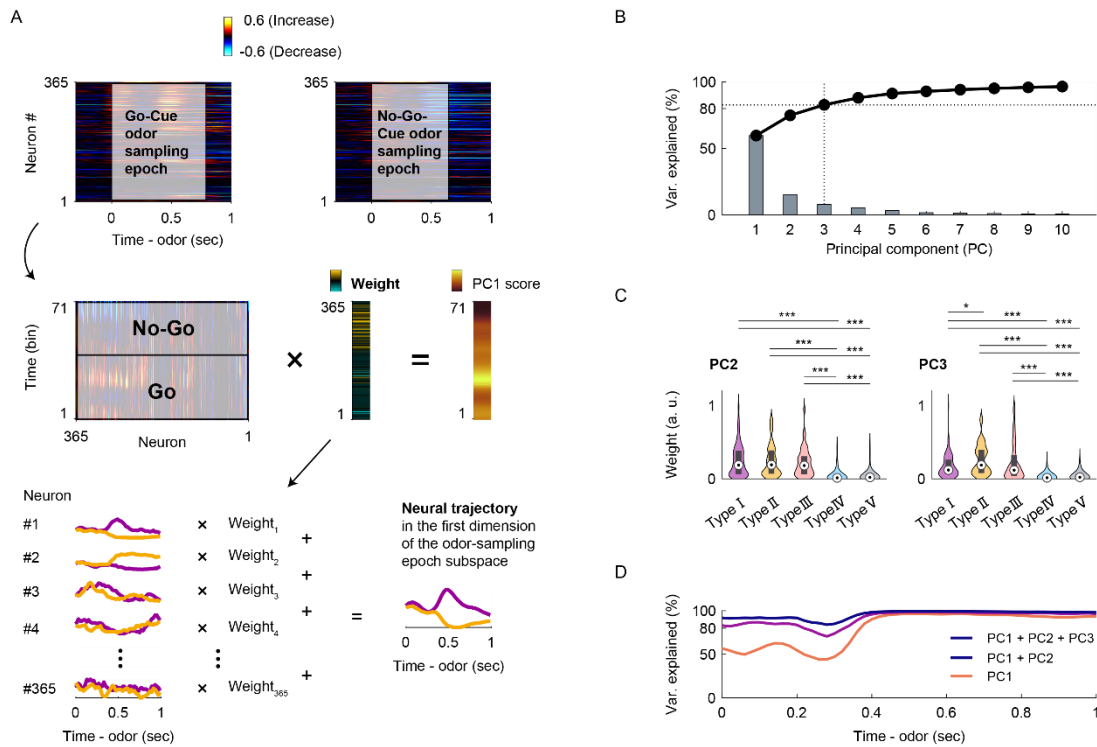
**Figure S3. Go-Cue Excitation Responses Reflected Signals Eliciting Appropriate Motivational Behavior and Stable, related to Figure 4.**

(A) Go-cue excitation and no-go-cue suppression responses during correct trials, error trials, and catch (odorless) trials. The area under the receiver operating characteristic curve (auROC) values were calculated during the odor-sampling epochs and only neurons with a minimum number of three trials for each analyzed condition were included in this analysis. Black horizontal lines and black vertical lines indicate medians and interquartile ranges. The statistical significance among six groups ( $*p < 0.05$ ,  $***p < 0.001$ ) was assessed by one-way analysis of variance (ANOVA) with Tukey's post hoc test.

(B) The development of cue responses in go-cue responsive neurons during learning. For each go-cue responsive neuron, we calculated the correlation between the firing rate during the go-cue odor-sampling epoch relative to the baseline (a mean firing rate during inter trial interval was subtracted for each neuron) and the order of go trial from the start of the session. The correlation coefficient was compared with control values calculated using the 1000 trial-shuffled data (gray shaded area) and the statistical significance was determined ( $<0.5^{\text{th}}$  percentiles of the control values, negative correlation;  $>99.5^{\text{th}}$  percentiles of the control values, positive correlation). Across go-cue responsive neurons, the majority of the go-cue responses were not correlated with trial progression (79.5%, not significant; 9.9%, negative; 10.6%, positive).

(C) Structure of predictor matrices. The predictor has rows for each variable and time offset, which take non-zero values for time points (columns) corresponding to the appropriate time offset from the given event. We quantified the relative contribution of each behavioral variable to the response of each neuron by determining how much the explained variance declined when that variable was removed from the model.

(D) Average relative contributions across the go-cue responsive neurons assessed separately using three different approaches: no refitting (used in the paper); no refitting + Lasso regularization; and refitting. Lasso regularization was applied using the lasso function in MATLAB; the mean square error (MSE) of the model was estimated using fivefold cross-validation, and we chose the lambda value that minimized the MSE. The results with lasso regularization were almost identical to the result without regularization, which suggests that there was not significant overfitting in our model.



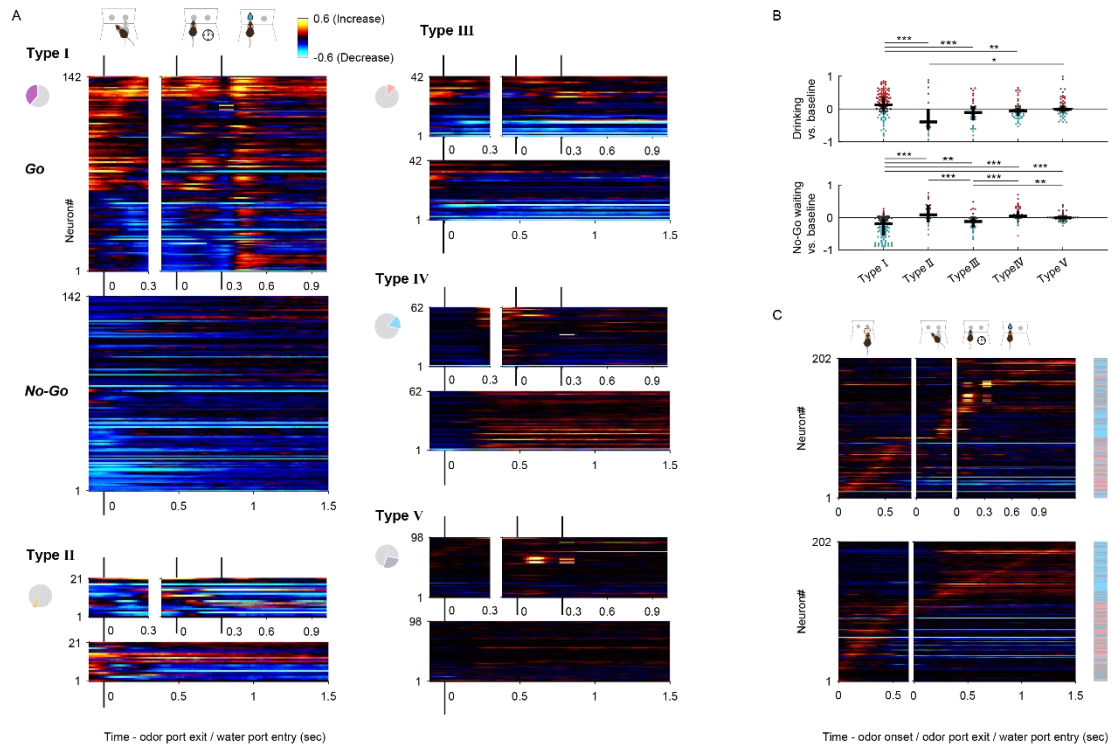
**Figure S4. Population Vector Construction and Analyses for the Nucleus of the Lateral Olfactory Tract (NLOT) Neuron Population Response, related to Figure 5.**

(A) Population vector construction. We constructed the two conditions (71 time bins)  $\times$  365 neurons matrix during the odor-sampling epoch, in which the columns contained the auROC values corresponding to the trial-averaged firing rate changes from the baseline. By performing principal component analysis (PCA) on the data, we reduced the dimensionality of the NLOT population from 365 neurons to three principal components (PCs). Subsequently, we obtained the odor-sampling epoch subspaces and neural weights (graphs show the values of the first dimension of the odor-sampling epoch subspaces).

(B) Screen plot of the odor-sampling epoch subspaces. It is notable that we used the three subspaces as they explained 82.8% of the total variance.

(C) Neural weights in the second (left) and third (right) dimension of the odor-sampling epoch subspaces. Box-plots in violin-plots indicate the medians and interquartile ranges. Purple, type I; orange, type II; pink, type III; light blue, type IV; gray, type V. Statistical significance among five groups ( $*p < 0.05$ ,  $***p < 0.001$ ) was assessed by one-way analysis of variance (ANOVA) with Tukey's post hoc test.

(D) Variances of neural weights data along the time course (Figure 5F) in the dimensions of each sliding bin (width: 100 ms, step: 20 ms).



**Figure S5. Response Profiles Following Odor-Guided Behaviors, related to Figure 7.**

(A) The area under the receiver operating characteristic curve (auROC) values calculated by go or no-go trials versus the baseline in the sliding bins (width, 100 ms; step, 20 ms) following odor-guided behaviors. Each row corresponds to one neuron, with neurons in all the graphs in the same order for each neuron group. Neurons were sorted by the peak time for the auROC values. The color scale is as in **Figure 3C**.

(B) The auROC values during the drinking epoch (top) and no-go waiting epoch (bottom). Black horizontal lines and black vertical lines indicate the medians and interquartile ranges. Red dots, significant excitation; blue dots, significant suppression; gray dots, non-significant ( $p < 0.01$ , permutation test). Statistical significance among five groups (\* $p < 0.05$ , \*\* $p < 0.01$ , \*\*\* $p < 0.001$ ) was assessed by one-way analysis of variance (ANOVA) with Tukey's post hoc test.

(C) The auROC values calculated by go or no-go trials versus the baseline in the sliding bins (width, 100 ms; step, 20 ms) during odor-guided go/no-go task in the type III, IV, and V neurons. Each row corresponds to one neuron. Neurons are sorted by the peak time for the auROC values. The color scale is as in **Figure 3C**. The colored box on the right shows neuron type for each neuron (pink, type III; light blue, type IV; gray, type V). Note that these neurons tended to show an excitatory response to a specific behavioral epoch with suppressed responses relative to other behavioral epochs.



## **Transparent Methods**

### **Animals**

All the experiments were performed on male C57BL/6 mice (9 weeks old; weighing 20–25 g), purchased from Shimizu Laboratory Supplies Co., Ltd., Kyoto, Japan. The mice were individually housed in a temperature-controlled environment with a 13-hr light/11-hr h dark cycle (lights on at 8:00 and off at 21:00). They were provided with water after the training and recording sessions to ensure that the body weights dipped no lower than 85% of the initial levels, and food was supplied ad libitum. All experiments were performed in accordance with the guidelines for animal experiments at Doshisha University and with the approval of the Doshisha University Animal Research Committee.

### **Apparatus**

We used a behavioral apparatus controlled by the Bpod State Machine r0.5 (Sanworks LLC, NY), an open-source control device designed for behavioral tasks. The apparatus comprised a custom-designed mouse behavior box with two nose-poke ports on the front wall. The box was contained in another soundproof box (BrainScience Idea. Co., Ltd., Osaka, Japan) equipped with a ventilator fan that provided adequate air circulation and low-level background noise. Each of the two nose-poke ports had a white light-emitting diode (LED) and infrared photodiode. Interruption of the infrared beam generated a transistor-transistor-logic (TTL) pulse; thus, signaling the entry of the mouse head into the port. The odor delivery port was equipped with stainless steel tubing connected to a custom-made olfactometer (Uchida and Mainen, 2003). Eugenol was used as the go-cue odor and amyl acetate (Tokyo Chemical Industry Co., Ltd., Tokyo, Japan) as the no-go-cue odor. These odors were diluted to 10% in mineral oil and further diluted to 1:9 by airflow. Water-reward delivery was based on gravitational flow, controlled by a solenoid valve (The Lee Company, CT), and connected via Tygon tubing to the stainless steel tubing. The reward amount (6  $\mu$ L) was determined by the opening duration of the solenoid valve and was regularly calibrated.

### **Odor-Guided go/no-go task**

After a 3 s inter-trial interval, each trial began by illuminating the LED light at the right odor port, which instructed the mouse to poke its nose into that port. A nose-poke into the odor port resulted in the delivery of one of the two cue odors for 500 ms. The mice were required to maintain their nose-poke during odor stimulation to sniff the odor. After odor stimulation, the LED light was turned off and the mice could withdraw their noses from the odor ports. If a eugenol odor (go-cue odor) was presented, the mice were required to move to and nose-poke into the left water reward port within a timeout period of 2 s. At the water port, the mice were required to maintain their nose-poke for 300 ms before water delivery began. Next, 6  $\mu$ L of water was delivered as a reward. If an amyl acetate odor (no-go-cue odor) was presented, the mice were required to avoid entering the water port for 2 s following odor stimulation. Once every 10 trials, we introduced catch trials in which the airstream was delivered through a filter containing no odorants during which, the mice were not rewarded regardless of their choice (go or no-go behavior). During the training sessions, the mice learned to obtain water rewards at the left water port, move from the right odor port to the left odor port, and associate odor cues with the correct

action. The accuracy rate was calculated as the total percentage of successes in the go and no-go trials in a session. The mice performed up to 448 trials (go error: ~20 trials, no-go error: ~4 trials, go in catch trials: ~11 trials, no-go in catch trials: ~37 trials) in each session per day.

To test the time lag between the start of odor stimulation and the arrival of the odor molecules to the mouse's nose, we conducted additional experiments in which odor presentation durations were 100, 200, 300, and 500 ms, without keeping the mice's nose inserted into the odor port during the odor presentation in each session (14, 6, 11, and 7 sessions in three mice). The odor presentation duration was fixed in each session and reduced from 500 ms to 100 ms across sessions.

### **Electrophysiology**

The mice were anesthetized with medetomidine (0.75 mg/kg i.p.), midazolam (4.0 mg/kg i.p.), and butorphanol (5.0 mg/kg i.p.), and implanted with a custom-built microdrive of four tetrodes in the NLOT (0.1 mm anterior to the bregma, 2.0 mm lateral to the midline). Individual tetrodes consisted of four twisted polyimide-coated tungsten wires (California Fine Wire, single wire diameter 12.5  $\mu\text{m}$ , gold plated to  $<500\text{ k}\Omega$ ). Two additional screws were threaded into the bone above the cerebellum for reference. The electrodes were connected to an electrode interface board (EIB-18, Neuralynx, MT) on the microdrive. The microdrive array was fixed to the skull using LOCTITE 454 (Henkel Corporation, Düsseldorf, Germany). After the completion of surgery, the mice received atipamezole (0.75 mg/kg i.p.) to reverse the effects of medetomidine and allow for a shorter recovery period. Additionally, the mice received analgesics (ketoprofen, 5 mg/kg, i.p.). Behavioral training resumed at least 1 week postoperatively. Electrical signals were obtained using open-source hardware (Open Ephys). For unit recordings, the signals were sampled at 30 kHz in Open Ephys and band-pass filtered at 600–6,000 Hz. After each recording, the tetrodes were adjusted to obtain new units.

### **Data analyses**

All data analyses were performed using built-in and custom-built software in MATLAB 2019a (The Mathworks, Inc., MA).

**Spike sorting:** The spikes were sorted into clusters offline based on their waveform energy, peak amplitudes, and the first principal components from the four tetrode channels using an automated spike-separation algorithm KlustaKwik (K.D. Harris). The resulting classifications were corrected and refined manually using MClust software (A.D. Redish). The clusters were considered as single units only when the following criteria were met: (1) refractory period (2 ms) violations were  $<0.2\%$  of all spikes, and (2) the isolation distance, estimated as the distance from the center of the identified cluster to the nearest cluster based on the Mahalanobis distance, was  $>20$ .

**Spike train analyses:** Neural and behavioral data were synchronized by inputting each event timestamp from the Bpod behavioral control system into the electric signal recording system. To calculate the firing rates during tasks, peri-event time histograms (PETHs) were calculated using a 20 ms bin width and smoothed by convolving spike trains with a 60 ms-wide Gaussian filter.

To examine the relationship between the firing rate changes among individual NLOT neurons and the development of behavioral epochs in behavioral tasks, we created event-aligned spike histograms (EASHs) (Ito and Doya, 2015). As behavioral epoch durations varied for each trial, the median duration of the epoch was calculated first. In the odor-guided go/no-go task, the median duration of odor-sampling epochs (from the odor onset to the odor port exit) was 788 ms in the go trials, 642 ms in the no-go trials, and the median duration of moving epochs (from the odor port exit to the water port entry) was 388 ms. The spike timing during each epoch and for each trial was linearly transformed to correspond with the median behavioral duration of each epoch. The number of spikes in each epoch was preserved. Furthermore, we defined the waiting epoch (300 ms reward delay, from the water port entry to the onset of water reward) and the drinking epoch (1,000 ms after the onset of water reward). These epochs were not applied to the transformation as their durations did not change across trials. In this way, the regular raster plots were transformed into event-aligned raster plots. Consequently, an EASH was calculated using a 20 ms bin width and smoothed by convolving the spike trains with a 60 ms-wide Gaussian filter from the event-aligned raster plots (**Figure 7A**).

**ROC analyses:** To quantify the firing rate changes, we used an algorithm based on ROC analyses that calculates the ability of an ideal observer to classify whether a given spike rate was recorded in one of two conditions (e.g., during go-cue or no-go-cue odor presentation) (Felsen and Mainen, 2008). We defined an auROC equal to 2 (ROCarea - 0.5), with the measure ranging from -1 to 1, where -1 signifies the strongest possible value for one alternative and 1 signifies the strongest possible value for the other.

The statistical significance of these ROC analyses was determined using a permutation test. For this test, we recalculated the ROC curves after randomly reassigning all firing rates to either of the two groups arbitrarily. This procedure was repeated a large number of times (500 repeats for analyses of dynamics [**Figures 3D-E, S2, 5A, and 7B-C**], 1,000 repeats for all other analyses; we shuffled only the trial labels) to obtain a distribution of values. Subsequently, we calculated the fraction of random values exceeding the actual value. For all analyses, we tested for significance at  $\alpha = 0.01$ . Only neurons (sessions) with a minimum number of three trials for each analyzed condition were included in the analyses.

For analyses of dynamics (width: 100 ms, step: 20 ms), we calculated three measures from the auROC values of correct trials (**Figures 3D and 7B-C**):

(1) Time of center of mass: This refers to the time corresponding to the center of mass of the significant points of the auROC values ( $p < 0.01$ , permutation test). The center of mass was calculated as the average of the histogram ( $\sum_i[\text{class value}_i \text{ frequency}_i] / \sum_i \text{ frequency}_i$ ). Only neurons with significant points for each analyzed condition were included in this analysis.

(2) Duration: This refers to the duration in which the auROC values were significant ( $p < 0.01$ , permutation test) for  $\geq 5$  consecutive bins, containing the time of center of mass. Only neurons with consecutive bins for each analyzed condition were included in this analysis.

(3) Onset time: The onset time refers to the time at which the duration was first evident.

**Classification of NLOT neurons:** Based on the ROC analyses during the odor-sampling epoch, we classified the NLOT neurons into five types (**Figures 2 and S1**). First, we

calculated the auROC values of the go-cue versus baseline (1,000 to 0 ms before the end of the inter-trial interval) and the no-go-cue versus baseline during the odor-sampling epoch in the correct trials. Based on these values, we defined the cue odor selective population that exhibited significant responses for at least one cue odor presentation and cue odor non-selective population (type V neurons). Second, in the cue odor selective population, we also calculated the auROC values of the go-cue versus the no-go-cue during the odor-sampling epoch in the correct trials. Based on these values, we defined go-cue responsive neurons (significant go-cue > no-go-cue, type I neurons) and no-go-cue responsive neurons (significant go-cue < no-go-cue, type II neurons). Finally, in the remaining population, we also calculated the auROC values of cue odors (go-cue + no-go-cue) versus baseline during the odor-sampling epoch in the correct trials. Based on these values, we defined cue excitatory neurons (cue odors > baseline, type III neurons) and cue suppressed neurons (cue odors < baseline, type IV neurons). For all aforementioned analyses, we tested for significance at  $\alpha = 0.01$  (permutation test).

**Generalized linear models:** To quantify the contribution of behavioral variables to neural activity, we used generalized linear models (GLM), which was a multiple linear regression with the firing rate of each neuron as the dependent variable, and predictors derived from the behavioral variables as the independent variables (**Figures 4C-D and S3C**) (Engelhard et al., 2019). In this analysis, the firing rate (5 ms bin width and smoothed by convolving spike trains with a 25 ms-wide Gaussian filter) of each neuron is described as a linear sum of temporal filters aligned to task events. For this study, only the onset of go-cue excitation response and pre-odor port exit events in correct go trials were required, since we consider only the period in between them (370 ms after odor stimulus onset to odor port exit). In the model, the predicted firing rate is given as:

$$\hat{y}_t = \beta_0 + \sum_i \beta_i^{Odor-Triggered} x_{t-i}^{Odor-Triggered} + \sum_i \beta_i^{Pre-Exit} x_{t-i}^{Pre-Exit}$$

The response of a neuron at bin  $t$  is modeled ( $\hat{y}_t$ ) by the sum of a bias term ( $\beta_0$ ) and the weighted ( $\beta_i$ ) sum of various additional binary predictors at different lags ( $i$ ). Binary predictors for the odor-triggered response ( $x_t^{Odor-triggered}$ ) are supported over the window of 370–788 ms relative to the onset of odor valve in either correct go trials (lags corresponding to the period from the onset of go-cue excitation response to the median of the odor port exit, 84 time bins). Binary predictors for pre-odor port exit events ( $x_t^{Pre-Exit}$ ) are supported over the window of -300–0 ms relative to the odor port exit in either go or no-go trials (60 time bins). The  $\beta$  values were calculated using the glmfit MATLAB function.

**Calculation of the relative contributions of behavioral variables to neural activity:** We quantified the relative contribution of each behavioral variable to neural activity (**Figures 4E and S3C**) by determining how the performance of the encoding model declined when each variable was excluded from the model (Engelhard et al., 2019; Pho et al., 2018). We predicted the firing rate of each neuron with all variables (full model) or by excluding one of the variables (partial model). The relative contribution of each behavioral variable was calculated by comparing the variance explained of the partial model to the variance explained by the full model. For this study, which included two behavioral variables, the relative contribution of each variable was defined as

$$\left(1 - \frac{R_{p,i}^2}{R_f^2}\right) / \sum_{j=1}^2 \left(1 - \frac{R_{p,j}^2}{R_f^2}\right)$$

Here,  $R_{p,i}^2$  is the variance explained by the partial model that excludes the  $i$ th variable, and  $R_f^2$  is that of the full model. Negative relative contributions were set to zero (this occurs when the  $R^2$  of the full model is lower than that of the partial model, owing to the introduction of noise by the excluded variable).

We used two approaches to exclude variables from the full model and calculate the variance explained by the partial model (Engelhard et al., 2019). In the first approach, the partial model was equivalent to the full model, except that the  $\beta$  values of the predictors of the excluded variable were set to zero ('no refitting'). In the second approach, we calculated new  $\beta$  values by re-running the regression without the predictors of the excluded variable (refitting). Both approaches to exclude variables produced comparable results; the no-refitting approach was used to generate the main figures, and comparison with the refitting approach is shown in **Figure S3D**.

Moreover, we compared relative contributions assessed separately using three different approaches: no refitting (NR; used in the paper), no refitting + Lasso regularization (NR + L), and refitting (R). Lasso regularization was applied using the lasso function in MATLAB; the mean square error (MSE) of the model was estimated using fivefold cross-validation, and we chose the lambda value that minimized the MSE. The results with lasso regularization were almost identical to the result without regularization (**Figure S3D**), which suggests that there was no significant overfitting in our model.

Population vector construction and analyses: We constructed two conditions (71 time bins)  $\times$  365 neurons matrix (Cavanagh et al., 2018; Murray et al., 2017; Ohnuki et al., 2020) during the odor-sampling epoch, in which columns contained the auROC values of the correct trials corresponding to the trial-averaged firing rate changes from the baseline (**Figure S4A**). By performing principal component analysis (PCA) on the data, we reduced the dimensionality of the NLOT population from 365 neurons to three PCs and obtained the odor-sampling epoch subspaces. Notably, we used the three subspaces as 82.8% of the total variance was explained (**Figure S4B**). To visualize the NLOT population responses, we projected the data onto the three-dimensional subspaces (**Figure 5B**). This allowed us to obtain a point reflecting the response of the entire population for each of the two conditions at a given instant. The distance between the cue responses was computed as the Euclidean distance between pairs of activity vectors of all subspaces at a given instant (**Figure 5C**) (Cury and Uchida, 2010; Mazor and Laurent, 2005). The velocity of population responses was determined as the distance between successive 20 ms bins (**Figure 5D**) (Mazor and Laurent, 2005). These values were compared with the values during the baseline epoch (200–0 ms before the end of the inter-trial interval).

To examine the contribution of individual neurons to cue encoding, we evaluated the absolute values of the PC coefficients as the neural weights (**Figures 5E and S4C**). We also evaluated contributions along the time course by calculating the absolute values of the PC coefficients in the sliding bins (width: 100 ms, step: 20 ms) during odor-sampling (**Figures 5F and S4D**).

**SVM decoding analyses:** We used a SVM algorithm with a linear kernel as a classifier (Cury and Uchida, 2010; Miura et al., 2012) and a MATLAB function (`fitsvm`) for analyses. All analyses were conducted on trial data pooled across animals. A matrix containing concatenated firing rates for each trial and each neuron provided input to the classifier. The matrix dimensions were the number of cells by the number of trials. To avoid over-fitting, k-fold cross-validation ( $k = 10$ ) was used to calculate the decoding accuracy of trial type discrimination. To compute the decoding accuracy, 40 trials for each trial type (from the start of the session) were chosen as the data. Next, the data were partitioned into ten equal parts; one part was used for testing and the remaining parts were used for training the classifier. This process was repeated ten times to test each individual part; the mean value of the accuracy was used for decoding accuracy. To compute the decoding accuracy of a 100 ms bin window (step: 20 ms), the classifier was trained and tested with a 100 ms bin window (step: 20 ms).

**Statistical analyses:** The data were analyzed using MATLAB 2019a. The statistical methods used in each analysis have been described in the Results section or figure legends. The Tukey-Kramer method was applied for tests of significance with multiple comparisons. Although the sample sizes in this study were not pre-determined by sample size calculations, they were based on previous research in the olfactory cortex fields (Manabe et al., 2011; Miura et al., 2012). Randomization and blinding were not employed. Biological replicates for the histological studies are described in the figure legends.

### **Histology**

After recording, the mice were deeply anesthetized using an intraperitoneal injection of sodium pentobarbital. Electric lesions were made using 10–20  $\mu$ A direct current stimulation for 5 s of one of the four tetrode leads. The mice were perfused transcardially with phosphate-buffered saline (PBS) and subsequently with 4% paraformaldehyde. The brains were removed from the skull and post-fixed in PFA. Next, the brains were cut into 50- $\mu$ m thick coronal sections and stained with cresyl violet. The electrode track positions were determined in reference to the atlas developed by Paxinos and Watson (Paxinos, 2004).

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